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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: MUELLER et al.

Docket No.: SCHO0050

Serial No.09/786,294

Art Unit: 2858

Filed: 10/19/2001

Examiner: Unknown

Title: METHOD AND APPARATUS FOR DETERMINING CHARACTERISTICS OF A

SAMPLE LIQUID INCLUDING A PLURALITY OF SUBSTANCES

AUGUST 26, 2004

TRANSMITTAL FORM

Commissioner of Patents Mail Stop IDS P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The following documents are being transmitted:

- IDS Transmittal (1 sheet);
- Information Disclosure Statement, (2pgs) 1449 form (2 pgs) cited references
- Return Postcard

Applicant believes that there is no fee due with this submission. However, the Commissioner is authorized to charge any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. SCHO0050). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Michael A. Glenn Reg. No. 30,176

Customer No. 22862

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In paragraphication of: Mueller, et al. Docket No.: SCHO0050

Serial No. : 09/786,294 **Art Unit:** 2858

Filed: October 19, 2001 Examiner: Unknown

Title: METHOD AND APPARATUS FOR DETERMINING CHARACTERISTICS OF A

SAMPLE LIQUID INCLUDING A PLURALITY OF SUBSTANCES

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 August 26, 2004

issue fee)

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

()under 37 CFR 1.97(b), or (within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
under 37 CFR 1.97(c) together with either a:

() Certification under 37 CFR 1.97(e), or
(X) a \$220.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

() under 37 CFR 1.97(d) together with a:

() Certification under 37 CFR 1.97(e), and
() a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
() a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
() (Filed after final action or notice of allowance, whichever occurs first, but before payment of the

- (X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. SCHO0050). A copy of this sheet is enclosed for accounting purposes.
- (X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,

Michael Glenn Attorney For Applicant Reg. No. 30,176

Customer No. 22862

PTO/SB/08A (02-03)

Approved for use through 04/30/2003. OMB 0651-0031
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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 2

Complete if Known			
Application Number	09/786,294		
Filing Date	10/19/2001		
First Named Inventor	Mueller et al.		
Art Unit	2858		
Examiner Name	Unknown		
Attorney Docket Number	SCHO0050		

				T DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	Α	^{US-} 5,239,258	8/24/93	Kauffman	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
	В	FR 2 656 424 - A1	6/28/91	Buvet et al.		
	С	EP 0 463 796 A1	1/02/92	Kauffman, R.		
	D	WO 99/13325	3/18/99	Winquist et al.		
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/08B (02-03)

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Supsilin	ne 1050m 1449/P10			Application Number	09/786,294		
INFORMATION DISCLOSURE				Filing Date	3/19/2001	,	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Mueller et al.		
				Art Unit	2858		
(Use as many sheets as necessary)		Examiner Name	Unknown				
Sheet	2	of	2	Attorney Docket Number	SCHO0050		

Funning:	Cita	OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS	Τ.
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	E	THOMAS, Q., DePALMA, R., PERONE, S.; "Application of Pattern Recognition Techniques to the Interpretation of Severely Overlapped Voltammetric Data: Studies with Experimental Data", Analytical Chemistry, Vol.49, No.9, August 1977	
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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